



Patent
Attorney's Docket No. 021565-108

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Christopher A. HELLIWELL et al.) Group Art Unit: 1632
Application No. 10/055,001) Examiner: Unassigned
Filed: January 25, 2002)
For: METHODS AND MEANS FOR)
PRODUCING EFFICIENT SILENCING)
CONSTRUCT USING)
RECOMBINATIONAL CLONING)

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APR 25 2002

TECH CENTER 1600 2900

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

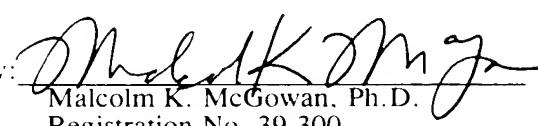
- No additional fee for submission of an IDS is required.
- The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By: 
Malcolm K. McGowan, Ph.D.
Registration No. 39,300

Date: 2 April 2002



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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or statement is required under 37 C.F.R. § 1.97(b).

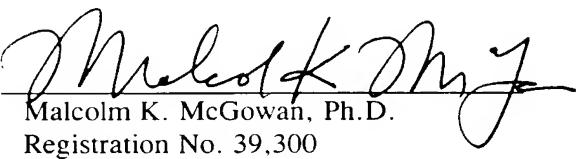
Also enclosed is a copy of an International Search Report issued in the corresponding international application which cites some of the listed references.

Information Disclosure Statement
Application No. 10/055,001
Attorney's Docket No. 021565-108
Page 2

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

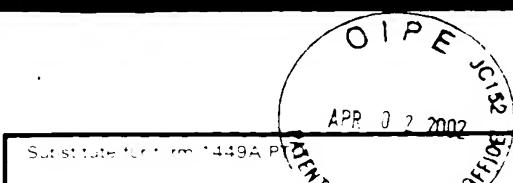
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Substitute Form 1449A PTO-1449A		ATTORNEY'S DKT NO. 021565-108	APPLICATION NO. 10 055,001
		APPLICANT Helliwell et al	
		FILING DATE Jan. 25, 2002	GROUP 1632

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS

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Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
	Number	Kind Code (if known)		

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	No
	WO 96/40724		PCT	12-19-1996		
	WO 98/53083		PCT	11-26-1998		
	WO 99/32619		PCT	07-01-1999		
	WO 99/49029		PCT	09-30-1999		
	WO 99/53050		PCT	10-21-1999		
	WO 99/61631		PCT	12-02-1999		
	WO 00/01846		PCT	01-13-2000		
	WO 00/44914		PCT	08-03-2000		
	WO 00/49035		PCT	08-24-2000		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	AzpiroLeehan and Feldmann "T-DNA Insertion Mutagenesis in <i>Arabidopsis</i> : Going Back and Forth" (1997) <i>Trends Genet.</i> 13:152-156		
	Fire et al. "Potent and Specific Genetic Interference by Double-Stranded RNA in <i>Caenorhabditis elegans</i> " (1998) <i>Nature</i> 391:806-811		
	Hamilton et al. "A Transgene With Repeated DNA Causes High Frequency, Post-transcriptional Suppression of ACC-oxidase Gene Expression in Tomato" (1998) <i>Plant J.</i> 15:737-746		
	Hoess et al. "The Role of the <i>loxP</i> Spacer Region in P1 Site-Specific Recombination" (1986) <i>Nucl. Acids Res.</i> 14:2287		
	Landy "Dynamic, Structural, and Regulatory Aspects of λ Site-Specific Recombination" (1989) <i>Ann. Rev. Biochem.</i> 58:913		
	Martienssen "Functional Genomics: Probing Plant Gene Function and Expression with Transposons" (1998) <i>Proc. Natl. Acad. Sci. USA</i> 95:2021-2026		
	Montgomery et al. "Double-Stranded RNA as a Mediator in Sequence-Specific Genetic Silencing and Co-Suppression" (1998) <i>Trends in Genetics</i> 14:255-258		
	Ross-MacDonald et al. "Large-Scale Analysis of the Yeast Genome by Transposon Tagging and Gene Disruption" (1999) <i>Nature</i> 402:413-418		
	Smith et al. "Total Silencing by Intron-Spliced Hairpin RNAs" (2000) <i>Nature</i> 407:319-320		
	Wagner and Sun "Double-Stranded RNA Poses Puzzle" (1998) <i>Nature</i> 391:744-745		
	Waterhouse et al. "Virus Resistance and Gene Silencing in Plants Can be Induced by Simultaneous Expression of Sense and Antisense RNA" (1998) <i>Proc. Natl. Acad. Sci. USA</i> 95:13959-13964		
	Wesley et al. "Construct Design for Efficient, Effective and High-Throughput Gene Silencing in Plants" (2001) <i>The Plant Journal</i> 27(6):581-590		
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered. Whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231